



A/1112 2/00 Receipt #4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: A. Jain et al. : Date: October 24, 2002
Serial No.: 10/063,986 : Group Art Unit: 2661
Filed: May 31, 2002 : Examiner: Unassigned
For: MANAGING ACCESS CONTROL WITHIN SYSTEM TOPOLOGIES
USING CANONICAL ACCESS CONTROL REPRESENTATIONS

Commissioner for Patents
Washington, DC 20231

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error in:
 - ☐ Applicant's name and/or address
 - ☐ Title
 - ☐ Filing Date
 - ☐ Serial Number
 - ☐ Foreign/PCT Application
 - ☒ Atty. Docket No.

in that it should read as follows: BEA9-2002-0003-US1

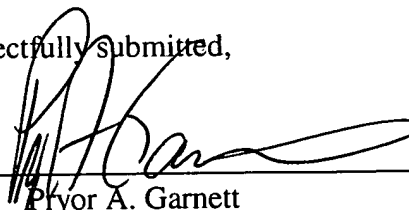
Docket No. BEA9-2002-0003-US1
Serial No. 10/063,986

3. ☒ The Commissioner is hereby authorized to charge payment of any fees associated with this communication to Deposit Account No. 501336. A duplicate copy of this sheet is enclosed.

Date: October 24, 2002

Respectfully submitted,

By


Pryor A. Garnett
Registration No. 32,136

From:

IBM Corporation
Intellectual Property Law
Dept. WIL2-01
15300 SW Koll Parkway
Beaverton, OR 97006-6063

Telephone: (503) 578-5020
Fax No.: (503) 578-5040



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/063,986	05/31/2002	2661	870	BEA9-2000-0003-US1 1	7	20	3

CONFIRMATION NO. 3822

UPDATED FILING RECEIPT



OC000000008789185

25253

IBM CORPORATION
IP LAW DEPT, ED02-905
15450 SW KOLL PARKWAY
BEAVERTON, OR 97006-6063

Date Mailed: 09/13/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Amit Jain, Portland, OR;
Sunil K. Nagarajrao, Portland, OR;
Sunil J. Unadkat, Portland, OR;
Stuart A. Friedberg, Aloha, OR;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 06/10/2002

Projected Publication Date: 12/04/2003

Non-Publication Request: No

Early Publication Request: No

IBM

SEP 17 2002

LEGAL DEPT

Title

Managing access control within system topologies using canonical access control representations

IBM BEA IPLaw	
Calendared for:	_____
Folder:	9-17-02 Kathy's _____
WPTS:	_____ Pryor's _____

Preliminary Class

370

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).